

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Kenneth AUSTIN)	
Serial No.:	09/061,712)	
I.A. Serial No.:	PCT/GB98/02166)	
I.A. Filing Date:	July 20, 1998)	Group Art Unit: Not yet known
National Phase Entry Date:	August 4, 2000 (by Express Mail))	Examiner: Unknown
For:	VIDEO MEDIA CONTROL SYSTEM)	

COMMUNICATION

Assistant Commissioner for Patents
Box: PCT
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application (Form
PCT/DO/EO1905) dated August 21, 2000, enclosed is:

(a) a fax copy of the signed inventor Declaration, which refers to the above
international application as requested under 2(c); and

(b) a copy of the Patent Office Notice.

Also enclosed are the following:

(c) an Information Disclosure Statement;

(d) a Verified Statement Claiming Small Entity Status under 37 C.F.R. 1.27;
and

(e) a Request under 37 C.F.R. §1.28 for Refund of Excess Fees Paid.

Serial No. 09/061,712 - - - - - 2

Please credit any overpayment of fees concerning this matter to our Deposit
Account No. 15-0508.

Respectfully submitted,


September 20, 2000

By 
Dolores T. Kenney (Reg. No. 31,269)

OLSON & HIERL, LTD.
20 North Wacker Drive
36th Floor
Chicago, Illinois 60606
(312) 580-1180

CERTIFICATE OF MAILING

I hereby certify that this correspondence and attachments is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, on September 20, 2000.


Dolores T. Kenney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Kenneth AUSTIN)	
)	
Serial No.:	09/061,712)	
)	
I.A. Serial No.:	PCT/GB98/02166)	
)	Group Art Unit: Not yet known
I.A. Filing Date:	July 20, 1998)	
)	Examiner: Unknown
National Phase)	
Entry Date:	August 4, 2000)	
	(by Express Mail))	
)	
For:	VIDEO MEDIA)	
	CONTROL SYSTEM)	

REQUEST UNDER 37 C.F.R. §1.28 FOR REFUND OF EXCESS FEES PAID

Assistant Commissioner for Patents
 Box: PCT
 Washington, D. C. 20231

Sir:

In accordance with 37 C.F.R. §1.27 and §1.28, the applicant has established small entity status and hereby requests a refund of excess fees paid on August 4, 2000 in the amount of \$689.00. In support of this Request, the following documents are attached.

- (a) A true copy of Verified Statement Claiming Small Entity, signed by Kenneth Austin, Managing Director of 4TV Limited;
- (b) A copy of page 2 of the letter transmitting the application to the PCT with the breakdown of applicable fees actually paid;
- (c) A copy of the front and back of Check No. 16396 in the amount of \$1,378.00 showing that it was cashed on August 9, 2000; and

Serial No. 09/061,712 - - - - - 2

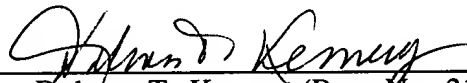
(d) A copy of the front and back of applicant's return addressed postcard, showing the date received stamp of the PCT/PTO of August 4, 2000 and Serial No. 09,601,712 and listing of Check No. 16396 in the enclosures filed.

The USPTO is requested to promptly process the refund, either by crediting the undersigned attorneys Deposit Account No. 15-0508 or by check.

An early response would be appreciated.

Respectfully submitted,

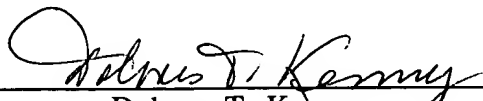
September 20, 2000

By 
Dolores T. Kenney (Reg. No. 31,269)

OLSON & HIERL, LTD.
20 North Wacker Drive
36th Floor
Chicago, Illinois 60606
(312) 580-1180

CERTIFICATE OF MAILING

I hereby certify that this correspondence and attachments is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, on September 20, 2000.


Dolores T. Kenney

OLSON & HIERL, LTD.
20 N. WACKER DR., 36TH FLOOR
CHICAGO, IL 60606
(312) 580-1180

AMERICAN NATIONAL BANK
AND TRUST COMPANY OF CHICAGO
CHICAGO, IL 60690
2-777/10

8/4/2000

16396

PAY TO THE
ORDER OF Commissioner of Patents and Trademarks

\$ **1,378.00

One Thousand Three Hundred Seventy-Eight and 00/100*****

Comm. of Patents & Trademarks
Washington, DC 20231

PCT Filing Fee

DOLLARS
Security features
included.
Details on back

MEMO PCT/GB98/02166 ROY 007

240371677 012 0148 2233

"016396" "071000770" 0018222099"

"00000137800"

0710-0030-1
860414628 0710-0030-1
860414628 08-11-00
860414628 2576 2572 05 86 120
01210000 15TMIX
240371677 0520-0027-8
240371677 08-11-00

AG 00 11

071000770

AG 00 10 34
FIRST VIRGINIA BANK
602 ALEXANDRIA BLVD.
FALLS CHURCH, VIRGINIA 22046
800 838 3550

FIRST VIRGINIA BANK
602 ALEXANDRIA BLVD.
FALLS CHURCH, VA.
1-800-838-3550
056 001 118

PATENT AND TRADEMARK OFFICE
FOR CREDIT TO THE
U.S. TREASURY
08-09-2000

0012 60679

Security Screen on the back.
Do not lose this card. Read the instructions on the back and on the front and on the back of the card.
Security Screen on the back.

424 Rec'd PCT/PTO 04 AUG 2000

THE UNITED STATES PATENT OFFICE IS REQUESTED TO IMPRESS ITS STAMP
ON THIS CARD AND PLACE SAME IN THE OUT-GOING MAIL TO SHOW THE
FOLLOWING PAPERS HAVE BEEN RECEIVED.

MAH/DTK:mjg

U.S. filing under 35 U.S.C. 371

Docket No.: ROY-007
PCT Appln. No.: PCT/GB98/02166
Int'l. Filing Date: 20 JUL 1998
U.S. Nat'l. Phase entry date: 04 AUG 2000
Inventors: Kenneth Austin
For: VIDEO MEDIA CONTROL SYSTEM

09/601712

Enclosed with this postcard are: Transmittal Letter (3 pp.); Preliminary Amendment (6 pp.); forms PCT/IB/308 and PCT/IB/332; first page of PCT publication, Search Report (5 pp.); Written Opinion (5 pp.); Resp. to Written Opinion (2 pp.); Int'l. Preliminary Examination Report with annexes thereto (18 pp.); Abstract, and Check No. 16396 in the amount of \$1,378.00.

M. by Express Mail No. EL620890885US on 04 AUG 2000 to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

US APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/GB98/02166	ATTORNEYS DOCKET NUMBER ROY-007
---	---	------------------------------------

15. ☐ A change of power of attorney and/or address letter;

16. ☒ Other items or information:

(a) copy of forms PCT/IB/308 and PCT/IB/332;

(b) copy of the First Page of International Publication;

(c) copy of International Search Report;

(d) copy of Written Opinion and Response thereto;

(e) copy of International Preliminary Examination Report and its annexes;

(f) separate abstract page to conform application to US practice.

<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT=</p>	<p>CALCULATIONS PTO USE ONLY</p>																																																																									
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 15%;">Rate</th> <th style="width: 15%;"></th> <th style="width: 15%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td style="text-align: center;">44</td> <td style="text-align: center;">14</td> <td style="text-align: center;">X \$ 18.00</td> <td style="text-align: right;">\$252.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">5</td> <td style="text-align: center;">2</td> <td style="text-align: center;">X \$ 78.00</td> <td style="text-align: right;">\$156.00</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ \$260.00</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$1,378.00</td> <td></td> </tr> <tr> <td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td style="text-align: right;">\$0.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td style="text-align: right;">\$1,378.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: right;">\$0.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td style="text-align: right;">\$1,378.00</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property</td> <td style="text-align: right;">\$0.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td style="text-align: right;">\$1,378.00</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: center;">Amount to be refunded</td> <td style="text-align: center;">\$</td> </tr> <tr> <td style="text-align: center;">charged</td> <td style="text-align: center;">\$</td> </tr> </tbody></table>	Claims	Number Filed	Number Extra	Rate			Total Claims	44	14	X \$ 18.00	\$252.00		Independent Claims	5	2	X \$ 78.00	\$156.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00			TOTAL OF ABOVE CALCULATIONS =				\$1,378.00		Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$0.00		SUBTOTAL =				\$1,378.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00		TOTAL NATIONAL FEE =				\$1,378.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property				\$0.00		TOTAL FEES ENCLOSED =				\$1,378.00						Amount to be refunded	\$	charged	\$
Claims	Number Filed	Number Extra	Rate																																																																							
Total Claims	44	14	X \$ 18.00	\$252.00																																																																						
Independent Claims	5	2	X \$ 78.00	\$156.00																																																																						
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00																																																																							
TOTAL OF ABOVE CALCULATIONS =				\$1,378.00																																																																						
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$0.00																																																																						
SUBTOTAL =				\$1,378.00																																																																						
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00																																																																						
TOTAL NATIONAL FEE =				\$1,378.00																																																																						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property				\$0.00																																																																						
TOTAL FEES ENCLOSED =				\$1,378.00																																																																						
				Amount to be refunded	\$																																																																					
				charged	\$																																																																					